Lawrence "Larry" Summers, Various Titles, Financial Disclosure, Facebook "dark pools," 2009

No. of Fund Entries: 16 Value/Income: ≤ \$1.5M

- 1	e.g., Vanguard ranks #9 in fund purchases of Facebook stock after S.E.C. exemption (source: Wall Street Journal)	R. E.	To the state of th	- Tage 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1-	\$	4.55.40 and 5.55.4	and the state of t	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	un series	* 550 an	an a	in the second se	Real Property of the Property	The second secon	in the second se	a laboration of the laboration	
	Income and Value			J		K	L	м		N		0	P1 P	2	P3	P4	
	Harvard University Salary 2008 and 20											\$ 586,896					\$ 58
	Harvard University Salary (S)											\$ 586,896					\$ 58
	D.E. Shaw & Co., LP Salary												\$ 1,248,747				\$ 1,24
	D.E. Shaw & Co., LP 2008 Income and 2008 Deerred Comp Paid in 2009												\$ 2,596,171				\$ 2,59
	D.E. Shaw & Co., LLC Salary							\$	183,750								\$ 18
	D.E. Shaw & Co., LLC 2008 Income and 2007 2008 Deferred Comp Paid in 2	009											\$ 1,159,955				\$ 1,15
	Equity Resource Fund XXIV Investment						\$ 68,820										\$ 6
	Equity Resource Mercury Fund Investment See Note 1 - Statement Attache			\$	15,000								7	1			\$ 1
	Revolution Money Inc Payment Processing System Tampa, FL) So ies A-3 U							\$	250,000	\Box							\$ 25
	Revolution Money Inc Payment Processing System Tampa, FL) Series 8-2 U	nits)					\$ 100,000			\Box	rota	ıl "Dark Po	ois"			16	\$ 10
	Wylie Agency (UK) Limited, The (Receivable \$500)			\$	500					\Box						~	\$
	Big Think, Inc.			\$	15,000					\Box			-			L	\$ 1
	Roubini Global Economics			Ś	1,000											, _	\$
	Roubini Global Economics							\$	147,500			TIAA-C	CREF		12	' <u> </u>	\$ 14
	Brookings Institution, The						\$ 60,620										\$ 6
	Ewing M. Kauffman Foundation			\$	1,000								-				\$
	Financial Times, The	•				\$ 34,000											\$ 3
	BCBS of Massechusetts			5	, 00							Vangι	uard	41			\$
	Gates Foundation, Bill and Melinda			\$	4,000									-			\$
	Reliance Makers Chambers, IV							\$	187,500				+	-		— L	\$ 18
	Michael Kinsley Creative Capitalism, Author			\$	2,500								0	5 10	15	20	\$
	Teachers as Scholars (DC), Inc			\$	1,320					L							\$
	Massechusetts Institute of Technology - Published Articles See Note 2 - Sta		t Attach	\$	201												\$
	Massechusetts Institute of Technology - 401(K) 75% Stock Fund, 25% Bond	Fund		\$	2,500												\$
	Northwestern Mutual - Term Life Insurance Policy							<u> </u>		\$ 1,00	00,000						\$ 1,00
	Vanguard 500 Index Fd, Harvard (S) 403(b)	1						\$	250,000		-		**	0.7		_	\$ 25
27 \	Vanguard Global Equity Fund, Harvard (S) 403(b)	1		_		\$ 50,000					Fac	cebook S	Shares as o	f June	30, 201	2	\$ 5
	Vanguard 500 Index Fund, Vanguard Natl Bureau of Econ Research - 403(B	1		Ş	15,000			_			100000000000000000000000000000000000000			TOTAL	FB SHARE	SASOF	\$ 1
	Vanguard 500 Index Fund, Vanguard Natl Bureau of Econ Research - 401(A	1						\$	250,000		RANK	K NAME		AUM	JUNE 30		\$ 25
	Sterling Trust Defined Benefit Plan (Keogh Plan) See Statement Attached)			_				\$	250,000		1	Goldman Sachs	Asset Management, L	P. 82.329	36,634,486	0	\$ 25
	TIAA-CREF CREF Global Equities, Harvard 403(b)	1			4.00			Ş	250,000		1	(U.S.)	0				\$ 25
	TIAA-CREF TIAA Real Estate, Harvard 403(b)	1		Ş	1,000		A 400 05 -				2	Baillie Gifford &			19,380,440		\$
	TIAA-CREF CREF Stock, University of Pennsylvania (S)	1				A 50.000	\$ 100,000				4	T. Rowe Price A	ement & Research Com		.6 18,663,997		\$ 10
	TIAA-CREF CREF Money Market, Deferred Comp Plan, Harvard 457(b)	1				\$ 50,000	A 400 05 -				1	Constitution and Constitution of the Constitut	Associates, Inc. Investment Manageme			_	\$ 5
35 1	TIAA-CREF CREF Stock, Deferred Comp Plan, Harvard University	1			45.00		\$ 100,000				5	Inc. (U.S.) 54,11	13.2	54,113.2	16,362,788	0	\$ 10
	TIAA-CREF CREF Stock, Tax Deferred Annuity Plan, Natl Bureau of Econ Res	1		Ş	15,000						6	BlackRock Fund	d Advisors	768,143	.8 11,690,656	0	\$ 1
	TIAA-CREF CREF Global Eq. Tax Deferred Annuity Plan, Natl Bureau of Econ	1		Ş	15,000						7	Sands Capital N	Janagement, LLC	22,157.4	11,649,292	0	\$ 1
	TIAA-CREF TIAA Real Estate, Tax Deferred Annuity Plan, Natl Bureau of Eco	1		Ş	2,500						8	ennison Assoc		80,316.4	9,691,825.0	· _	\$
	TIAA-CREF CREF Bond Mkt, Tax Deferred Annuity Plan, Natl Bureau of Econ	1		Ş	15,000						9	The Vanguard	Group, Inc.	908,526	.5 9,582,480.0	_	\$ 1
	TIAA-CREF CREF Infl Linked Bd, Tax Deferred Annuity Plan, Natl Bureau of E			Ş	15,000						10	Capital Researc	ch Global Investors (U.S	366,059	.2 8,273,200.0	_	\$ 1
	TIAA-CREF CREF Stock, Deferred Comp Plan, Harvard University 457(b)	1					\$ 100,000				Source:	"Who Else Has a Big B	et on Facebook" by Telis Dem	os, The Wall Street.	Journal, Aug. 24. 2	012	\$ 10
								Ś	250.000				1000 CO. (1000 CO.) (1000 CO.)			200,000	\$ 25
	TIAA-CREF CREF Stock, Harvard RIP	1	_					Y	230,000								7
	TIAA-CREF CREF Stock, Harvard RIP	1						_	250,000								\$

Summary of Facebook "Dark Pool" Funds	No.	
Vanguard	4	\$ 565,000
TIAA-CREF	12	\$ 913,500
Total "Dark Pools"	16	\$ 1,478,500

5 C.F.R. Part 2635: Standards of ethical conduct for employees of the executive branch, Subpart E – Impartiality in Performing Official Duties

". . .avoid an appearance of loss of impartiality."

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

U.S. Office of Government Ethics

C 2 OBICE Of COACHATICAL PRACE							
Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status	Incumbent	Calendar Year Covered by Report	New Entrant, Nomine	e. Termination	Termination Date (If Appli- cable) (Morth, Day, Year)	Any individual who is required to
January 20, 2009	appropriate bases)		Covered by Atapox	X or Candidate	Filer		file this report and does so more than
January 20, 2009							30 days after the date the report is
D	Last Name			First Name and Mide	tle Initial.		required to be filed, or, if an extension
Reporting Individual's Name	SUMMERS			LAWRENCE H.			is granted, more than 30 days after the last day of the filing extension period
	Title of Position			Department or Agent	cy (If Applicable)		shall be subject to a \$200 fee
Position for Which Filing	DIRECTOR			NATIONAL ECO	NOMIC COUNCIL		Reporting Periods
	Address (Number	, Street, City, State,	and ZIP (lode')		Telephone No. (Inc	chide Area Code)	Incumbents: The reporting period is
Location of Present Office (or forwarding address)		E, WEST WING LVANIA AVE N	: IW WASHINGTON	DC 20500	(202) 456-1337	7	the preceding calendar year except Part II of Schedule C and Part I of
Position(s) Held with the Federal	Tule of Position(s	and Date(s) Held		,			Schedule D where you must also include the filing year up to the date
Government During the Preceding	7 100 01 1 03117011(3	J and Date(3) Tiero					you file Part II of Schedule D is not
12 Months (If Not Same as Above)							applicable
Desire Calles	Maria of Concesso	aland Cammings C	onsidering Nomination	Do Vou Intend to Co	eate a Qualified Diversi	Cod Tours	Termination Filers: The reporting
Presidential Nominees Subject to Sepate Confirmation	Name of Congres	Signal Committee C	onsidering Romination	Do You mend to CI	eate a Quantied Diversi	mica (1021)	covered by your previous filing and ends
Secure Continuation				Yes	Ио)	at the date of termination. Part II
Certification	10'	ing i Green and a few indicates (Date (Month, Day,	Year	of Schedule D is not applicable.
I CERTIFY that the statements I have made on this form and all anached schedules are true, complete and correct	Signature of Repo	oring Individual			7/73/	1000 9	Nominecs, New Entrants and Candidates for President and Vice President:
to the best of my knowledge.		1			1427		Schedule A-The reporting period for
Other Review (If desired by agency)	Signature of Othe	endewer		•		23.09	income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Desi	gnated Agency Ethi	cs Official/Reviewing	Official	Date (Month, Doy,	(Year)	Schedule B-Not applicable.
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below)	Vy_	1. Cu	A		3/23/	109	Schedule C. Part I (Liabilities)— The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is
	Signature		-		Date (Month, Day,	Year)	within 31 days of the date of filing
Office of Government Ethics Use Only							Schedule C. Part II (Agreements or
Comments of Reviewing Officials (If additi	onal spuce is requir	red use the reverse	side of this sheet)				Arrangements) - Show any agreements or arrangements as of the date of
<u> </u>							filing
			(Check b	ox if filing extension granted	& indicate number of a	dinys)	Schedule D-The reporting period is the preceding two calendar years and
							the current calendar year up to the date of filing.
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Funds that do not qualify under the "safe harbor" mutual fund exemption from disclosure rule include:

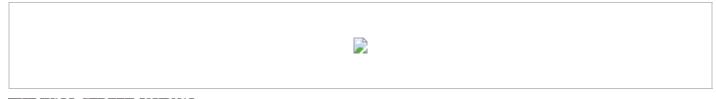
- (1) most IRAs;
- (2) funds that issue regular reports where the judge knows or should know the stocks in his portfolio;
- (3) funds with notoriously public activity (e.g., <u>T. Rowe</u> <u>Price</u> and <u>Fidelity</u> pre-IPO Facebook investing);
- (4) undisclosed purchases of "dark" instruments which conceal activity subject to transparency laws;
- (5) law firm 401(k) retirement accounts;
- (6) funds where stocks are held in the judge's (or spouse) name —"even one share"; and
- (7) funds where there is an appearance of impropriety.

Sources:

Guide to Judiciary Policy, Ethics & Judicial Conduct, Vol. 2B, Ch. 2, see esp. Section 106, U.S. Courts, United States Department of Justice http://www.uscourts.gov/uscourts/RulesAndPolicies/conduct/Vol02B-Ch02.pdf;

See also

https://docs.google.com/file/d/0B2SfG2nEsMfqSVQ4dFUyWGNHS0E/edit and https://www.scribd.com/doc/199638078/Guide-to-Judiciary-Policy-Vol-02-Ethics-and-Judicial-Conduct-Part-B-Ethics-Advisory-Opinions-Ch-02-Published-Advisory-Opinions-acessed-Jan.



THE WALL STREET JOURNAL.

WSJ.con

August 24, 2012, 2:18 PM ET

Who Else Has a Big Bet on Facebook

ByTelis Demos

We <u>reported this morning about the concentration</u> of Facebook stock in the portfolio of one group of investors, several mutual funds operated by Morgan Stanley Asset Management. But that is not where the concentration of Facebook stock ends.



AFP/Getty Images

According to fresh data from Ipreo, which has tallied up the public filings by all investors, a relatively large chunk of Facebook stock just three months after its \$16 billion IPO in May is held by the company's 10 largest institutional investors (that excludes insiders, like CEO Mark Zuckerberg, and the early VC investors, like Accel Partners).

The top 10 "accounts," in banker-speak, represent about 50% of Facebook's institutional ownership, according to lpreo, the capital markets data firm. That tops the 42% concentration for the top 10 institutions for all second quarter tech IPOs three months after they went public. Across more comparable \$1 billion-plus IPOs since 2010, the concentration is even lighter: The top 10 institutional accounts held 32% of those companies' shares.

This data, keep in mind, does not tell us who bought the IPO. That's a closely guarded secret held by the lead underwriters. These figures are based on public disclosures as of June 30. They are at best a proxy for how the IPO was actually distributed.

So who are the biggest betters — by total number of shares, not necessarily by weighting within the fund — on Facebook? Morgan Stanley Asset Management is only the fifth largest holder by that measure, according to lpreo. Above them are Goldman Sachs Asset Management, Baillie Gifford & Company, Fidelity Investments, and T. Rowe Price Group Inc. Rounding out the top 10 are BlackRock Inc., Sands Capital Management LLC, Jennison Associates LLC, The Vanguard Group Inc. and Capital Research Global Advisors Inc.

Some of those investors were big pre-IPO holders. Goldman Sachs famously marketed a fund with pre-IPO Facebook stock to international investors, which accounts for a big chunk of its holdings. T. Rowe Price and Fidelity also bought in before the IPO. The point here is that they all may still be sitting on Facebook stock gains, depending on when exactly they got into the stock.

Others, however, appear to have gotten in primarily via the IPO, or after it began trading. That includes Baillie Gifford, an Edinburgh-based fund management giant that manages assets of £76.0 billion (\$120 billion). They are a sub-advisor to some large fund management families, like Vanguard, but also manage money on behalf of giant pension funds such as the California Public Employees' Retirement System (CALPERS), the New York City Police Pension Fund and the Korea National Pension Service, according to their website. The firm did not return requests for an interview.

Some of the big investors are also there just because they have to be. BlackRock and Vanguard operate many index funds that may have bought Facebook stock solely because it likely will be a member someday of indexes such as the S&P 500 and Nasdaq 100, and is already in indexes such as the Russell 1000.

What speaks loudly are the absences of firms that are typically very big holders of recently IPO'd companies. Citadel Advisors, which bought 17 other second-quarter IPOs according to Ipreo, owned just 167,164 Facebook shares at the end of June. Wellington Management Company LLP and Lord Abbett & Company LLC, which bought 11 second quarter IPOs, both held fewer than 1m shares. For context, Baillie Gifford reported holding 19 million shares.

1 Goldman Sachs Asset Management, L.P. (U.S.) 82,329.1 36,634,486.0 2 Baillie Gifford & Company 60,809.1 19,380,440.0 3 Fidelity Management & Research Company 544,656.5 18,774,915.0 4 T. Rowe Price Associates, Inc. 338,744.6 18,663,997.0 5 Morgan Stanley Investment Management, Inc. (U.S.) 54,113.2 54,113.2 16,362,788.0	NE 30
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7 Sands Capital Management, LLC 22,157.4 11,649,292.0	
8 Jennison Associates, LLC 80,316.4 9,691,825.0	
9 The Vanguard Group, Inc. 908,526.5 9,582,480.0	
10 Capital Research Global Investors (U.S.) 366,059.2 8,273,200.0	

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Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	SCHEDULE A	2
Assets and Income	Valuation of Assets at close of other entry is needed in Block C for that item, BLOCK P Proportion of Assets Income: type and amount. If "None for less than \$201)" other entry is needed in Block C for that item, BLOCK C	(s checked. no
For you, your spower, and dependent children, repon each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reponding period, or which generated more than \$200 in income during the reporting period, together with such income. For vourself, also report the source and actual amount of carned income exceeding \$200 tother than from the U.S. Government). For your soouse report the source but not the amount of carned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse). Nanc	S1,001 - 515,000 S15,001 - 515,000 S15,001 - 5100,000 S250,001 - 520,000 S250,001 - 520,000 S250,001 - 525,000,000 S25,000,001 - 525,000,000 Excepted Trust Over 550,000,000 Excepted Trust Over 550,000,000 Excepted Trust Over 550,000,000 Excepted Trust Over 550,000,000 S25,000 S25,000	Other Dale Income (Mn. Day. (Specify Yr.) That A Actual Amount) Horocraria
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`	UNIVERSIDAD AUTONOMA DEL ESTADO DE BAJA CALIFORNIA								40													40)646000000									\$103,500 SPEAKING ENGAGEMENT	10/16/2008
2	McKINSEY AND COMPANY													7,000		300000000000000000000000000000000000000		- West (1///		000000000000000000000000000000000000000		S. S		200							\$135,000 SPEAKING ENGAGEMENT	10/19/2008
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4	(S) NEXTBOOK, ORG									8 2000 1000								- Control of the Cont		A OSTOLOGICA NA		8 411149		5				-			\$1,000 SPEAKING ENGAGEMENT	10/26/08
5	SECURITIES INDUSTRY & FINANCIAL MARKETS ASSOCIATION (SIFMA)							_		220,000,000										-		A CONTRACTOR									\$33.750 SPEAKING ENGAGEMENT	10/28/2008
6	GLOBAL INSIGHT			999														of the state of th		000000000000000000000000000000000000000		Account of the second									\$38,000 SPEAKING ENGAGEMENT	10/28/2008
7	INSTITUTIONAL LIMITED PARTNERS ASSOCIATION																	2010010000			2	7									\$13.500 SPEAKING ENGAGEMENT	17/6/2008
٥	CHARLES RIVER VENTURES U.C									100000000												CONTRACTOR OF THE PARTY OF THE		0.000							\$87,500 SPEAKING ENGAGEMENT	11/11/2008
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mark the other higher categ

Reporting Individual's Name		Page Number
	SCHEDULE A continued	18
SUMMERS, LAWRENCE H.	(Use only if needed)	
Assets and Income	Valuation of Assets Income: type and amount If "None for less than \$2011" i	is checked no
	at close of other entry is needed in Block C for that item.	
BLOCK A	reporting period BLOCK B DI.OCK C	
	Туре Адонті	
None	St. 201 St. 500 St. 201 St. 500 St. 201 St. 500 St. 201 St. 500 St. 500 St. 500 St. 500 St. 500 St. 500 St. 500 St.	Other Income (Afo. Day. (Specify Yr.) Type & Actual Assembly (Incorporation
: INSTITUTE FOR INTERNATIONAL ECONOMICS		\$25,000 ADVISORY COMMITTEE
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" This category applies only if the according mark the other higher categ	ome is solely that of the riter's spowe or dependent children. If the assertineome is either that of the filer or jointly held by the filer wi	ith the spouse or dependent childs

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

	. Office of Government Ethics	The Complete Co	nounce in your area a new entitlent, normal	,															
	porting Individual's Name JMMERS, LAWRENCE H.		SCHEDULE 1	В										Page 1	Jumbei		17		
	art I: Transactions			 .				None			1	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		7 . N		11.0-1-71			
								TOIL			<u> </u>		6.77		, <u> </u>				
	port any purchase, sale, or exchang dependent children during the repo		report a transaction involving property used solely as your personal residence, or a transaction solely between you.		ansact Type (x						Ar	nount c	of Trans	saction	(x)				
sec	operty, stocks, bonds, commodity for curities when the amount of the transport of the trans	nsaction exceeded	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	e e	Exchange	Date (Mo. , Day, Yr.)	\$1,001 - \$15,000	\$15,001 -	\$50,001 - \$100,000	\$100,001 - \$250,000	0,001 -	\$500,001 - \$1,000,000	Over \$1,000,000*)00,001 -)00,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	,000,000	Certificate of divestiture
			cation of Assets	<u>A</u>	Sale	Š		\$1.0	\$15 \$50	\$50 \$10	\$10 \$25	\$25 \$50	\$50 \$1,0	§ ₹	\$1,0	\$5.0 \$25	\$25	Over \$50,0	P P
<u> </u>	Example: Central Airlines Commor	n		x	_	<u> </u>	2/1/99			х							igsquare	\longrightarrow	<u> </u>
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* ~	This assessment applies only if the u	andarluina agast is salalu t	that of the filer's spouse or dependent children. If the underlyi			41 1	le a led												
			endent children, use the other higher categories of value, as ap	_		mer i	neia												
_	art II: Gifts, Reimbu			270 2-14															
For for (2) the as	or you, your spouse and depend on, and the value of: (1) gifts (s od. or entertainment) received) travel-related cash reimburser an \$260. For conflicts analysis, personal friend, agency appro- athority, etc. For travel-related of these and the nature of expenses	dent children, report the nuch as tangible items, to from one source totalin ments received from one, it is helpful to indicate val under 5 U.S.C. § 41 pifts and reimbursement	source, a brief descrip- ransportation, lodging, g more than \$260; and e source totaling more a basis for receipt, such 11 or other statutory ts. include travel itinerary,	rece inde the o	ived i pend donor I valu	from ent o s's res	ernment; gi relatives; of their rela sidence. A om one sou usions.	receive tionsh lso. fo	ed by ip to v	your s you; o oses o	spous or prov of agg	e or do vided regati	epend as per ng gif	ent cl sonal As to	nild to hosp deterr	otally itality nine t struct	at he		1
┢	Source (Name ar	nd Address)		Br	ief De	script	ion										Т	Value	,
	Examples: Nat'l Assn. of Rock Coll Frank Jones, San Francis		Airline ticket, hotel room & meals incident to national conference 6/ Leather briefcase (personal friend)	15/99 ([persona	al acti	vity unrelated	to duty	/									\$500 \$300	
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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

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Reporting Indiv	ridual's Name												Page 1	Numbe				
SUMMERS,	LAWRENCE H.		SCHED				d								18	8		
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Part I:	Cransactions																	
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				Type (Am	ount o	ו נוצטו	saction	. (x)				
						Date (Mo.,							-		. 0	- 8		٥
			asa		Exchange	Day, Yr.)	<u> </u>	\$15,001 - \$50,000	- 00	000	6 8	1000	00,	\$1,000,001 \$5,000,000	\$5,000,001 - \$25,000,000	0 0	0	Certificate of divestiture
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	Example: Central Airline	Identification of Assets	X X	S	<u> </u>	2/1/99	€ €	69 69	X X	€ €	\$ \$	69 69 69 69	ÓΨ	69 69	£ £	69 69	<u>Ó 👸</u>	ਹ ਰ
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by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000)
S C.F.R Pan 2634
U.S. Office of Government Ethics
Reporting Individual's Name

SUMMERS, LAWRENCE H.

Part I: Liabilities															
Report liabilities over \$10,000 owed to any one creditor at	personal residence unless it is rented out; loans secured		No	ne				Catego	ory of A	mount	or Valu	oe (x)			
any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed	by automobiles, household furniture or appliances, and liabilities owed to certain relatives listed in instructions.								Ť			1			
during the reporting period. Exclude a mortgage on your	See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term if apph-	\$10,001 -	\$15,001 - \$50,000	\$50,001 -	\$100,001 -	00000	\$500,001 -	Over \$1,000,000*	000,000	\$5,000,001 - \$25,000,000	\$25,000,001	Over \$50,000,000
Creditors (Name and Address)	Type of Liability	┪		Cabic	\$10	\$15,	\$50	\$10	\$25	\$50	31,C	\$1,0	\$5,C	\$25	0ve \$50
Examples: First District Bank, Washington, DC	Mongage on rental property, Delaware	1991	8%	25 yrs.			x	T	L	I					
John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demar					x						
BANK OF AMERICA INVESTMENT SERVICES, 900 WEST TRADE STREET, CHARLOTTE, NC	MARGIN ON SECURITIES	2007	VAR	DEMAN	D				X						
2 HARVARD UNIVERSITY, CAMBRIDGE, MA	STUDENT LOAN	2008	0%	10 YR	S	X									
3 (S) HARVARD UNIVERSITY, CAMBRIDGE, MA	STUDENT LOAN	2005	0%	10YRS	3			Х							
4 (S) HARVARD UNIVERSITY, CAMBRIDGE, MA	PERSONAL LOAN	2003	6%	10YRS	3	X									
5		1													
Part II: Agreements or Arrangements Report your agreements or arrangements for: continuing employee benefit plan (e.g. 401k, deferred compensation payment by a former employer (including severance payment)	participation in an ; (2) continuation			4) future or any of t							ling th	ne rep	orting	3	
Status and Terms of an	Agreement or Arrangement		-					Panie	s					D	ate
Example: Pursuant to partnership agreement, will receive lump st calculated on service performed through 1/00	um payment of capital account & partnership share			C	oe Jones	& Smit	h, Hon	nelown,	State					7/	85
ONTINUING PARTICIPATION IN MASSACHUSET (NO FUTURE EMPLOYEE OR EMPLOYER CONTRI	TS INSTITUTE OF TECHNOLOGY DEFINED CONTRIE BUTIONS EXPECTED)	BUTION PL	AN		MASSAC CAMBRI			INST	ITUTI	E OF	TECH	NOLC	OGY	19	979
2 CONTINUING PARTICIPATION IN NATIONAL BURE VIA TIAA-CREF (NO FUTURE EMPLOYEE OR EMP	AU OF ECONOMIC RESEARCH TAX DEFERRED AN	NUITY PL	AN		NATION							N DC		19	79
3 CONTINUING PARTICIPATION IN NATIONAL BURE		RD			NOITAN									19	79
(NO FUTURE EMPLOYEE OR EMPLOYER CONTRI					JS DEP							N DC		``	. •
4 CONTINUING PARTICIPATION IN NATIONAL BURE (NO FUTURE EMPLOYEE OR EMPLOYER CONTRI		RD			NATION JS DEP							N DC		19	79
5 CONTINUING PARTICIPATION IN HARVARD UNIVI	ERSITY 403(b) PLAN VIA TIAA-CREF				HARVAF									20	001
(NO EMPLOYEE OR EMPLOYER CONTRIBUTIONS	EXPECTED FOR SERVICES PROVIDED AFTER 200	8)												l	
Prior Editions Cantiol Be Used.															

SCHEDULE C

Page Number

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Reporting Individual's													Page N	√mupe <i>r</i>			
SUMMERS, LAW	RENCE H.		SCHEDUI	LE C											20		
Part I: Liabili	ties																
Report liabilities ov		any one creditor at	personal residence unless it is rented out; loans secured		No	ne											
1 '	reporting period by	,	by automobiles, household furniture or appliances; and		,	/ .				Caleg	ory of A	4moun!	or Val	luc (x)			
or dependent childre		st amount owed	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term if appli- cable	. 100	\$15,001 -	\$50,001	\$100,001 -	\$250,001 -	\$500,001 -	r 000,000	\$1,000,001-	\$5,000,001 -	\$25,000,001 -	Over \$50,000,000
	Creditors (Name and	d Address)	Type of Liability	_		Cabic	\$10	\$15	\$30	\$10	\$25	\$50	§ 20.58	\$5.0	\$5,0 \$25,	\$25	8 8
	strict Bank, Washingto		Mongage on rental property, Delaware	1991	8%	25 yrs.	1	<u> </u>	_ <u>x</u>	ļ	L	1	ļ	L.	Ι	I	Ι
John Jones, 123 J St., Washington, DC Promissory note 1999 10 % on demand x													├—	₩	├		
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			filer's spouse or dependent children. If the liability is that of categories, as appropriate.	the filer or a	joint liab	oility of the f	iler										
		Arrangement															
Report your agree employee benefit	ements or arranger plan (e.g. 401k, d	ments for: continuing deferred compensation cluding severance pay	participation in an n; (2) continuation			(4) future el or any of th								he re	วดศโต า	g	
		Capus and Tarms of a	ny Agreement or Arrangement							Partie			Vone		<u>. </u>	T	Date
	nant to partnership agree	cement, will receive tump s	num payment of capital account & partnership share			Do	e Jones	& Smi	th, Hon								7/85
			ERSITY DEFERRED COMPENSATION PLAN VIA TIA	4-CR E F		HA	ARVA	RD UN	VIVEF	SITY	, CAN	IBRID	GE N	IA		2	001
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			S EXPECTED FOR SERVICES PROVIDED AFTER 200	08)			NDV/A1		UVE C	CITY		IDOID	OF N			+-3	001
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			D UNIVERSITY, WILL CONTINUE PARTICIPATION IN)'S	HA	ARVAI	RD UI	VIVEF	RSITY	, CAN	1BRID	GE, N	ΛA		2	001
MORTGAGE	LENDING PROG	RAM ON TERMS ES	TABLISHED IN PRE-EXISTING EMPLOYMENT CONT	RACT									•				
L 5 WILL CONTR	WHE PARTICIPAT	JON IN HARVARD'S	STUDENT LOAN PROGRAM ON TERMS ESTABLISH	ED IN		THAT I	AVAI	RD UM	VIVER	SITY	CAN	ARRID	GE I	Δ		2	იი1

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PRE-EXISTING EMPLOYMENT CONTRACT

5 C.F.R Part 2634 U.S. Office of Government Ethics

Reporting Individual's Name]		-	Page Number	
SUMMERS, LAWRENCE H.		SCHEDULE D		2′	1
Part I: Positions Held Outs Report any positions held during the a compensated or not. Positions include director, trustee, general partner, prop	pplicable reporting period, whether but are not limited to those of an officer,	consultant of any corporation, firm, partner non-profit organization or educational instancial, fraternal, or political entities and the	itution Exclude nositions with religious		
Organ	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow	n, State	Non-profit education Law firm	President Partner		Present
1 OBAMA-BIDEN TRANSITION WASHINGTON, DC		GOVERNMENT	SENIOR ECONOMIC ADVISOR	11/08	01/09
2 HARVARD UNIVERSITY 79 JFK ST, CAMBRIDGE, MA		UNIVERSITY	CHARLES W. ELIOT UNIVERSITY PROFESSOR	2001	01/09
3 D.E. SHAW & CO., LP 120 W. 45TH ST, NEW YORK	, NY 10036	ALTERNATIVE INVESTMENT FUND	MANAGING DIRECTOR	10/06	12/08
4 D.E. SHAW & CO., LLC 120 W. 45TH ST, NEW YORK	, NY 10036	ALTERNATIVE INVESTMENT FUND	MANAGING DIRECTOR	10/06	12/08
5 FINANCIAL TIMES LONDON, UK		NEWSPAPER	COLUMNIST	2006	12/08
6 BROOKINGS PAPERS ON EC WASHINGTON, DC	CONOMIC ACTIVITY	ACADEMIC JOURNAL	EDITOR	03/08	12/08
Report sources of more than \$5,000 c business affiliation for services provide	Excess Of \$5,000 Paid by One So ompensation received by you or your led directly by you during any one year of e names of clients and customers of any	corporation, firm, partnership, or other bus organization when you directly provided the of more than \$5,000. You need not report	he services generating a fee or payment	if you are ar Termination Vice Preside	ential ['] tia <u>l Ca</u> ndidate
Source (Name and Address)			Brief Description of Duties		
1 HARVARD UNIVERSITY	oe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university CHARLES W. ELIOT UNIVERSITY PR			
79 JFK ST, CAMBRIDGE, MA D.E. SHAW & CO., LP 120 W. 45TH ST, NEW YORK		MANAGING DIRECTOR			
3 D.E. SHAW & CO., LLC 120 W. 45TH ST, NEW YORK		MANAGING DIRECTOR			
4 OBAMA-BIDEN TRANSITION WASHINGTON, DC		SENIOR ECONOMIC ADVISOR			
5 AMERICAN CHAMBER OF CO BUENOS AIRES, ARGENTIN		SPEAKING ENGAGEMENT			
6 AMERICAN EXPRESS		SPEAKING ENGAGEMENT			

S.C.F.R Part 2634 U.S. Office of Government Ethics				
Reporting Individual's Name			Page Number	_
SUMMERS, LAWRENCE H.	SCHEDULE D		2	2
Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whe	ether consultant of any corporation, firm, pa	rtnership, or other business enterprise or a	ny	
compensated or not. Positions include but are not limited to those of director, trustee, general partner, proprietor, representative, employee		institution. Exclude positions with religion d those solely of an honorary nature.	None	
Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
Doe Jones & Smith, Homelown, State	Law firm	Partner	7/85	L/00
WYLIE AGENCY (UK) LIMITED LONDON, ENGLAND	LITERARY AGENCY	COLUMNIST	12/07	12/08
2 ROUBINI GLOBAL ECONOMICS NEW YORK, NY	ECONOMIC ANALYSTS	PAID ADVISORY BOARD MEMBER	01/06	12/08
3 SEE ATTACHED STATEMENT	SEE ATTACHED STATEMENT	SEE ATTACHED STATEMENT	SEE	ATTACHED
4				
5			 	
Part II: Compensation In Excess Of \$5,000 Paid Report sources of more than \$5,000 compensation received by you o business affiliation for services provided directly by you during any	r your corporation, firm, partnership, or other	r business enterprise, or any other non-profed the services generating a fee or paymen	fit if you are a	plete this part n Incumbent, n Filer. or
the reporting period. This includes the names of clients and custome			Vice Presid	ential itia <u>l Ca</u> ndidate
Source (Name and Address)		Brief Description of Duties		
Examples: Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, St	Legal services Legal services in connection with univer	sity construction		
1 ASIAN DEVELOPMENT BANK MANILA, PHILIPPINES	SPEAKING ENGAGEMENT			
2 ASOCIACION DE BANCOS DE MEXICO	SPEAKING ENGAGEMENT			
ACAPULCO, MEXICO 3 BCBS OF MASSACHUSETTS 400 DADICE DOUGLE DOUG	ADVISORY COUNCIL			
401 PARK DRIVE, BOSTON, MA 02215 4 BEACON CAPITAL PARTNERS, LLC BOSTON, MA	SPEAKING ENGAGEMENT			
5 THE BROOKINGS INSTITUTION WASHINGTON, DC	JOURNAL EDITOR			
6 CENTRO DE LIDERAZGO Y GESTION BOGOTA, COLUMBIA	SPEAKING ENGAGEMENT			

Reporting Individual's Name				Page Number	
SUMMERS, LAWRENCE H.		SCHEDULE D		2	3
Part 1: Positions Held Outs Report any positions held during the ar compensated or not. Positions include director, trustee, general partner, propr	oplicable reporting period, whether but are not limited to those of an officer,		rtnership, or other business enterprise or any institution. Exclude positions with religious d those solely of an honorary nature.		
Organi	zation (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors,		Non-profit education	President	6/92	Present
Doe Jones & Smith, Hometown	n, State	Law firm	Partner	7/85	1/00
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Report sources of more than \$5,000 cc business affiliation for services provide	Excess Of \$5,000 Paid by One So impensation received by you or your ed directly by you during any one year of a names of clients and customers of any	corporation, firm, partnership, or othe	r business enterprise, or any other non-profit led the services generating a fee or payment sport the U.S. Government as a source.	if you are ar Terminatior Vice Presid	ential tia <u>l Ca</u> ndidate
Source (Name and Address)			Brief Description of Duties		
Examples: Doe Jones & Smith, Hometow Metro University (client of Do NEW YORK, NY	n, Statee Jones & Smith), Moneytown, State	Legal services Legal services in connection with universervices in Connection with universervices	rsity construction		
2 CHARLES RIVER VENTURES CAMBRIDGE, MA	LLC	SPEAKING ENGAGEMENT			
3 CITIGROUP NEW YORK, NY	-	SPEAKING ENGAGEMENT	<u></u>		
4 COMMONFUND ORLANDO, FL		SPEAKING ENGAGEMENT			
5 DEUTSCHE BANK - AG WASHINGTON, DC		SPEAKING ENGAGEMENT			
6 EFG EUROBANK ERGASIAS THESSALONIKI/ ATHENS, GF		SPEAKING ENGAGEMENT			

		Page Number	
SCHEDULE D		24	4
f an officer, non-profit organization or educational is	nstitution. Exclude positions with religious		
Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Non-profit education Law firm	President Partner	- 6/92 7/85	Present
one year of organization when you directly provide	d the services generating a fee or payment	if you are an Termination Vice Preside or Presiden	ential tial Candidate
	Brief Description of Duties	110110	
	·	·	
BOOK ADVANCE			
FINANCIAL COLUMNIST & SPEAK	KING ENGAGEMENT		
SPEAKING ENGAGEMENT			
	by One Source Type of Organization Non-profit education Law firm by One Source or your one year of ers of any Corporation, firm, partnership, or other organization when you directly provide of more than \$5,000. You need not reputate Legal services Legal services in connection with univers BOOK ADVANCE FINANCIAL COLUMNIST & SPEAK SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT	consultant of any corporation, firm, partnership, or other business enterprise or any annon-profit organization or educational institution. Evalude positions with relieious social, fraternal, or political entities and those solely of an honorary nature. Type of Organization Position Held Non-profit education Partner Partner by One Source or your one year of ear of more than \$5,000. You need not report the U.S. Government as a source. Brief Description of Duties Legal services tate Legal services in connection with university construction BOOK ADVANCE FINANCIAL COLUMNIST & SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT SPEAKING ENGAGEMENT	cetter fan officer. e. or or output of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational inctinuion. Exclude nostitions with religious social, fraternal, or political entities and those solely of an honorary nature. None

Reporting Individual's Name				Page Number	
SUMMERS, LAWRENCE H.		SCHEDULE D		25	5
Part I: Positions Held Outs	side U.S. Government				1
	e but are not limited to those of an officer.	non-profit organization or educational	artnership, or other business enterprise or a linstitution. Exclude positions with religion	•	
director, trustee, general partner, prop	rietor, representative, employee, or	social, fraternal, or political entities an	nd those solely of an honorary nature.	None	
Organ	nization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors		Non-profit education	President	6/92	Present
Doe Jones & Smith, Hometov	vn, State	Law firm	Partner	7/85	1/00
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Part II: Compensation In I	Excess Of \$5,000 Paid by One So	urce		Do not com	plete this part
Report sources of more than \$5,000 c			er business enterprise, or any other non-pro		Incumbent,
	ded directly by you during any one year of the names of clients and customers of any		ded the services generating a fee or paymer eport the U.S. Government as a source.	nt Termination Vice Preside	•
line reporting period. This includes an	o manes of chemis and customers of any	of more than \$5,000. Too need not he	eport the o.g. Government as a source.		tial Candidate
				None	
Source (Name and Address)	0		Brief Description of Duties		
Examples: Doe Jones & Smith, Hometov	oe Jones & Smith), Moneytown, State	Legal services Legal services in connection with unive	essity construction		
1 INSTITUTE FOR INTERNATION		ADVISORY COMMITTEE	isity constituction		
WASHINGTON, DC					
2 INSTITUTIONAL LIMITED PA	RTNERS ASSOCIATION	SPEAKING ENGAGEMENT			
NEW YORK, NY					
3 INVESTEC BANK		SPEAKING ENGAGEMENT			
MAURITIUS, REPUBLIC OF N 4 ITINERA INSTITUTE	MAURITIUS	CDEAKING ENGAGEMENT			
BRUSSELS, BELGIUM		SPEAKING ENGAGEMENT			
5 JP MORGAN		SPEAKING ENGAGEMENT			
MIAMI, FL		S. E. WING ENGINEERI			
6 LEADERS AND COMPANY L	TD. (THISDAY NEWSPAPER GROUP)	SPEAKING ENGAGEMENT			
NEW YORK, NY		<u> </u>		- 	

5 C F R Part 2634	
U.S. Office of Government E	thics

Reporting Individual's Name				Page Number	
SUMMERS, LAWRENCE H.		SCHEDULE D		26	S
Part I: Positions Held Outsid Report any positions held during the appl compensated or not. Positions include by director, trustee, general partner, propriet	icable reporting period, whether are not limited to those of an officer.	• • • • • • • • • • • • • • • • • • • •	rtnership, or other business enterprise or any institution. Exclude positions with religious d those solely of an honorary nature.		
Organiza	tion (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors, N	Y, NY	Non-profit education	President	6/92	Present
Doe Jones & Smith, Hometown, S	State	Law firm	Partner	7/85	1/00
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Part II: Compensation In Ex Report sources of more than \$5,000 com business affiliation for services provided the reporting period. This includes the n	directly by you during any one year of	corporation, firm, partnership, or other	r business enterprise, or any other non-profit ed the services generating a fee or payment port the U.S. Government as a source.	if you are an Termination Vice Preside	Filer, or
Source (Name and Address)			Brief Description of Duties	1.0110	
Examples: Doe Jones & Smith, Hometown, Metro University (client of Doe J	State ones & Smith), Moneytown, State	Legal services Legal services in connection with univer SPEAKING ENGAGEMENT			
BOSTON, MA MCKINSEY AND COMPANY - NE FLORENCE ITALY	W YORK	SPEAKING ENGAGEMENT			
3 MERRILL LYNCH LISBON, PORTUGAL		SPEAKING ENGAGEMENT			
4 NAPLES - FT. MYERS TOWN HANDLES, FL	ALL INC.	SPEAKING ENGAGEMENT			
5 NEXUS INSTITUTE AMSTERDAM, NETHERLANDS		SPEAKING ENGAGEMENT			
6 NORTH CAROLINA STATE UNIVERSELEIGH, NC	VERSITY	SPEAKING ENGAGEMENT			

5 C.F.R Part 2634	
U.S. Office of Government	Ethics

Reporting Individual's Name				Page Number	-
SUMMERS, LAWRENCE H.		SCHEDULE D		27	7
Part I: Positions Held Outs Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propri	pplicable reporting period, whether but are not limited to those of an officer,		rtnership, or other business enterprise or an institution. Exclude positions with religious d those solely of an honorary nature.	-	
Organ	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow		Non-profit education Law firm	President Partner	7/85	Present
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Report sources of more than \$5,000 co business affiliation for services provide	Excess Of \$5,000 Paid by One So ompensation received by you or your ed directly by you during any one year of e names of clients and customers of any	corporation, firm, partnership, or othe	r business enterprise, or any other non-proficed the services generating a fee or payment sport the U.S. Government as a source.	it if you are an Termination Vice Preside	Filer, or
Source (Name and Address)			Brief Description of Duties		
1 PENSION REAL ESTATE ASS	be Jones & Smith), Moneytown, State	Legal services Legal services in connection with universe SPEAKING ENGAGEMENT	sity construction		
BOSTON, MA 2 PhRMA WASHINGTON, DC		SPEAKING ENGAGEMENT			
3 PIMCO NEWPORT BEACH, CA		SPEAKING ENGAGEMENT	_		-
4 POMONA CAPITAL NEW YORK, NY		SPEAKING ENGAGEMENT			
5 PRICEWATERHOUSE COOP WASHINGTON, DC		SPEAKING ENGAGEMENT			
6 REGENTS OF UNIVERSITY (LOS ANGELES, CA	DF CALIFORNIA	SPEAKING ENGAGEMENT			

Reporting Individual's Name			<u>-</u>	Page Number	
SUMMERS, LAWRENCE H.		SCHEDULE D		2	88
Part I: Positions Held Outs Report any positions held during the a compensated or not. Positions include director, trustee, general partner, proper	pplicable reporting period, whether but are not limited to those of an officer,	non-profit organization or educations	partnership, or other business enterprise or an all institution. Exclude positions with religion and those solely of an honorary nature.	•	
Organ	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow		Non-profit education Law firm	President Partner	- <u>6/92</u>	Present
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Report sources of more than \$5,000 consistences affiliation for services provide	Excess Of \$5,000 Paid by One Sompensation received by you or your led directly by you during any one year of enames of clients and customers of any	corporation, firm, partnership, or oth organization when you directly provi	er business enterprise, or any other non-profided the services generating a fee or paymen report the U.S. Government as a source.	it if you are a Termination Vice President	lential ntial Candidate
Source (Name and Address)			Brief Description of Duties		
Examples: Doe Jones & Smith, Hometov	vn, State De Jones & Smith), Moneytown, State	Legal services Legal services in connection with univ	grafty construction		
RELIANCE, MAKERS CHAME NARIMAN POINT, MUMBAI 40	ERS - IV	MEMBER OF THE INTERNATIO			
2 REVOLUTION MONEY	, ST PETERSBURG, FL 33701	BOARD MEMBER			
3 ROUBINI GLOBAL ECONOMI NEW YORK, NY		BOARD MEMBER			
4 SACRAMENTO CHAMBER O SACRAMENTO, CA	FCOMMERCE	SPEAKING ENGAGEMENT		-	
5 SAMSUNG SECURITIES CO. SEOUL, SOUTH KOREA	, LTD.	SPEAKING ENGAGEMENT		-	
	NANCIAL MARKETS ASSOCIATION	SPEAKING ENGAGEMENT			
Prior Editions Cannot Be Used.					

Reporting Individual's Name		SCHEDILE D		Page Number	20
SUMMERS, LAWRENCE H.		SCHEDULE D		4	29
Part I: Positions Held Outsi	de II C Covernment	<u> </u>			
Report any positions held during the ap		consultant of any corneration firm in	artnership, or other business enterprise or ar	1V	
compensated or not. Positions include	but are not limited to those of an officer,	non-profit organization or educationa	linstitution Exclude positions with religiou		
director, trustee, general partner, propri	etor. representative. employee. or	social, fraternal, or political entities a	nd those solely of an honorary nature.	None	. 🗂
Organi	zation (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Nat'l Acen of Rock Collectors		Non-profit education	President President	6/92	Present
Examples: Doe Jones & Smith, Hometown		Law firm	Partner	$-\frac{5792}{7/85}$	1/00
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1	excess Of \$5,000 Paid by One So	ource			plete this part
Report sources of more than \$5,000 co			er business enterprise, or any other non-prof		n Incumbent,
the reporting period. This includes the	ed directly by you during any one year of		ded the services generating a fee or payment report the U.S. Government as a source.	Terminatio Vice Presid	•
the reporting period. This includes the	manes of chefts and customers of any	of more than \$5,000. If ou fleed flot t	eport the 0.5. Government as a source.		ntial Candidate
				None	e 🗀
Source (Name and Address)	-		Brief Description of Duties		
Examples: Doe Jones & Smith, Hometow	n, State	Legal services			
Metro University (client of Do 1 SIGULER GUFF & COMPANY	e Jones & Smith), Moneytown, State	Legal services in connection with universell SPEAKING ENGAGEMENT	ersity construction		
NEW YORK, NY		SPEAKING ENGAGEMENT			
2 SKAGEN FUNDS		SPEAKING ENGAGEMENT		-	
STOCKHOLM, SWEDEN		0. 2, 2, (2,			
3 SOURCE MEDIA		SPEAKING ENGAGEMENT			
NEW YORK, NY					
4 STATE STREET CORPORATION	ON - GLOBAL MARKETS	SPEAKING ENGAGEMENT			
CAMBRIDGE, MA		ODEAL(ING ENGAGENERIT			
5 TA ASSOCIATES BOSTON, MA		SPEAKING ENGAGEMENT			
6 TATA CONSULTANCY SERVI	CES	SPEAKING ENGAGEMENT		-	
HENDERSON, NV	~~~	S. E. WING ENGINEER			
<u>'</u>					

Reporting Individual's Name			Page Number	
SUMMERS, LAWRENCE H.	SCHEDULE D		31	0
Part I: Positions Held Outside U.S. Government				
Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or	* * * * * * * * * * * * * * * * * * * *	ertnership, or other business enterprise or an institution. Exclude positions with religious distinct solely of an honorary nature.	•	
Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	- <u>6/92</u> 7/85	1/00
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Part II: Compensation In Excess Of \$5,000 Paid by One So Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any	corporation, firm, partnership, or othe	r business enterprise, or any other non-prof led the services generating a fee or payment eport the U.S. Government as a source.	if you are ar Termination Vice Preside	ential tia <u>l Ca</u> ndidate
Source (Name and Address)		Brief Description of Duties		
Examples: Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with unive			
TAX COUNCIL POLICY INSTITUTE WASHINGTON, DC	SPEAKING ENGAGEMENT	isity construction		
2 TUFTS UNIVERSITY BOSTON, MA	SPEAKING ENGAGEMENT			
3 UCSD ECONOMIC ROUNDTABLE SAN DIEGO, CA	SPEAKING ENGAGEMENT			
4 UNIVERSIDAD AUTONOMA DEL ESTADO DE BAJA CALIFORNIA TIJUANA, MEXICO	SPEAKING ENGAGEMENT			
5 WYLIE AGENCY (UK) LIMITED LONDON, ENGLAND	COLUMNIST			
6 YALE UNIVERSITY NEW HAVEN, CT	SPEAKING ENGAGEMENT	_		

Attachment to: FORM SF278, SCHEDULE A, PART I

SUMMERS, LAWRENCE H.

Block A	Block B	Block C - Type	Block C - Amount
Bank of America - Columbia Mutual F	<u>unds</u>		
Columbia Acom Fund	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
Columbia Marsico Growth Fund	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
Columbia Marsico International Opportunity Fund	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
Columbia Dividend Income Fund	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
Sterling Trust Defined Benefit Plan (Ko	eogh Plan)		
SPDRs (SPY)	\$15,000 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
iShares Russell 2000 Index (IWM)	\$15,000 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
iShares MSCI EAFE Index (EFA)	\$15,000 - \$50,000	Excepted Investment Fund	\$201 - \$1,000

Note 1 - Equity Resource Mercury Fund - Privately held limited partnership investment in real estate assets located in Asia

Note 2 - Massachusetts Institute of Technology - Future royalties, value is de minimis and not readily ascertainable

Attachment to: FORM SF278, SCHEDULE D, PART I

SUMMERS, LAWRENCE H.

Organization	Type of Business	Title	Address/Telephone	Dates
BCBS of Massachusetts	Соправу	Advisory Council	401 Park Drive, Boston, MA 02215-3326 800-262-2583	4/2007 - 12/31/08
Broad Foundation	Foundation	Board of Governors	10900 Wilshire Blvd, 12 fl Los Angeles, California 90024 310.954.5000	2005 12/31/08
Brookings	Think Tank	Board of Trustees Journal Editor	1775 Massachusetts Ave, NW, Washington, DC 20036 202.797.6000	2002 – 12/31/08
Center for Global Development	Think Tank	Board of Directors	1776 Massachusetts Ave. NW Third Floor Washington DC 20036 (202) 416-0700	2005 – 12/31/08
Bill & Melinda Gates Foundation	Foundation	Advisory Member for Global Development Program	PO Box 23350 Seattle, WA 98102 (206) 709-3100	2007 12/31/08
International Center for Research on Women	Think Tank	Advisory Board Member	1120 20th St. N.W. Suite 500 North Washington, D.C. 20036 (202) 797-0007	3/2008 – 12/31/08
Institute for International Economics	Think Tank	Chair of Advisory Board; Board of Trustees	1750 Mass Avenue, NW. Washington, DC 20036. Tel: 202- 328-9000	2002 – 12/31/08
Mt Sinai Hospital	Hospital	Board of Trustees	5 East 98th Street New York, NY 10029 866 674-3721	7/2008 – 12/31/08
National Academy of Sciences – Board on	Public Policy Forum	Chairman	500 Fifth St., N.W. Washington, D.C. 20001	01/01/08 - 12/31/08

Science Technology and Economic Policy (STEP)			202-334-2000	
Partnership for Public Service	Public Policy Forum	Advisory Board of Governors	1100 New York Avenue NW, Suite 1090 East, Washington, DC 20005 (202) 775-9111	2002 - 12/31/08
Reliance	Сотрапу	Member of the International Advisory Board	Makers Chambers - IV, Nariman Point, Mumbai 400 021. India. 91-22-2278 5000	2007 - 12/31/08
Revolution Money	Сотрапу	Board Member	200 Central Ave, 11 th fl St Petersburg, FL 33701 727-374-2105	2006 - 12/31/08
RGE Monitor	Соправу	Advisor to the Board	131 Varick Street, Suite 1005 New York, New York 10013 212.645.0010	2006 – 12/31/08
Teach for America	Non Profit	Board of Directors	315 West 36th Street, 7th Floor New York, NY 10018 212-279-2080	2006 – 12/31/08
American Corporate Partners (Veterans Mentoring Organization)	Non Profit	Advisory Council	6 E 43 rd Street New York, NY 10017	2008 - 12/31/08