AO 10 Rev. 1/2011

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

I. Person Reporting (last name, first, middle initial)	2. Court o	Organization	3. Date of Report
Schall, Alvin A.	United S	tates Court of Appeals for the Federal Circuit	05/04/2011
	Non Initi  5b.	Type (check appropriate type)  initiation, Date  al	Date
I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing	instructions.)		
NONE (No reportable positions.)			
POSITION		NAME OF ORGANI	ZATION/ENTITY
1. Trustee (one of two)		Trust #2	
2. Trustee (one of two)		Trustee #4	
3. Executor (one of two)		Estate	
4.			
5.			
II. AGREEMENTS. (Reporting individual only; see pp. 14-16  NONE (No reportable agreements.)	of filling insti	ructions.)	
<u>DATE</u>		PARTIES AND TERMS	
1.			
2.			
3.			

FINANCIAL DISCL Page 2 of 11	OSURE REPO	Name of Person Re Schall, Alvin A.			Date of Report 05/04/2011
III. NON-INVESTMI	ENT INCOME.	Reporting individual and spouse	e; see pp. 17-24 of filing instructions.)		
A. Filer's Non-Investment	t Income				
✓ NONE (No reportable)	ble non-investment in	come.)			
DATE		SOURCE AN	<u>ID TYPE</u>	(you	INCOME Irs, not spouse's)
1.					
2.					
3.					
4.					
B. Spouse's Non-Investme (Dollar amount not required except for		e married during any portion of t	the reporting year, complete this section	on.	
✓ NONE (No reportab	ole non-investment in	come.)			
DATE		SOURCE AN	<u>D TYPE</u>		
1.					
2.					
3.					
4.					
IV. REIMBURSEME (Includes those to spouse and dependen	-				
NONE (No reportab	de reimbursements.)				
SOURCE	<u>DATES</u>	LOCATION	<u>PURPOSE</u>	ITEMS PAI	D OR PROVIDED
New York Intellectual     Property Law Association	March 26-27, 2010	New York, NY	Annual Dinner in honor of the Federal Judiciary	Transportation,	Meals, and Lodging
2. Philadelphia Intellectual Property Law Association	November 18-19, 2010	Philadelphia, PA	Annual Dinner in honor of the Federal Judiciary	Transportation,	Meals, and Lodging
3.					
4.					

<u>5.</u>

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Name of Person Reporting

Schall, Alvin A.

Date of Report

05/04/2011

V. GIFTS. (Includes those to spouse at	nd dependent children; see pp. 28-31 of filing instructions.)	
✓ NONE (No reportable gift.	s.)	
SOURCE	DESCRIPTION	<u>VALUE</u>
1.		
2.		
3.	<u></u>	
4.		
5.		
VI. LIABILITIES. (Includes the	ose of spouse and dependent children; see pp. 32-33 of filing instructions.)	
CREDITOR	DESCRIPTION	<u>VALUE CODE</u>
1. Sun Trust Bank	Loan-Secured by mortgage on real property	1
2.		
3.		
4.		
5.		

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Name of Person Reporting	Date of Report
Schall, Alvin A.	05/04/2011

#### VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

	NONE (No reportable income	e, assets, or	transaction	1s.)						
	A. Description of Assets (including trust assets)		B. me during ting period	Gross va	C. llue at end ing period		Transactio	D. ons during		; period
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Abbott Laboratories, Inc.	В	Dividend	K	Т					
2.	Altria Group, Inc.	В	Dividend	K	Т					
3.	Boeing Co.	В	Dividend	K	Т			1		
4.	Citadel Broadcasting Corp		None			Sold	06/28/10	J		
5.	Exxon Mobil Corp.	С	Dividend	М	Т					
6.	General Electric Co.	В	Dividend	L	Т			6		3
7.	General Mills, Inc.	В	Dividend	L	Т	Distributed	06/09/10	J		
8.	Home Depot, Inc.	В	Dividend	L	T.					
9.	Hospira, Inc.		None	J	Т					
10.	Intel Corp.	С	Dividend	М	Т					
11.	J.P. Morgan Chase & Co.	A	Dividend	М	Т					
12.	Kraft Foods, Inc.	A	Dividend	К	Т					
13.	MBIA, Inc.		None	J	Т					
14.	Minnesota Mining & Mfg. Co.	В	Dividend	L	Т					
15.	Motorola, Inc.		None	J	Т					
16.	Pepsico, Inc.	С	Dividend	М	Т					
17.	Philip Morris Int'l. Inc.	С	Dividend	L	T					
					-					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N =\$250,001 - \$500.000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B =\$1,001 - \$2,500

G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O=\$\$00,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

w =Estimated

C=\$2,501 - \$5,000

111 = \$1.000,001 - \$5,000,000 L =\$50,001 - \$100.000

P1 =\$1,000,001 - \$5,000,000

P4 = More than \$50,000,000 S = Assessment

T =Cash Market

D=\$5,001 - \$15.000

112 =More than \$5,000,000

P2 = \$5,000,001 - \$25,000,000

M =\$100,001 - \$250,000

E=\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Schall, Alvin A.	05/04/2011

## VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	NONE (No reportable income, ass	sets, or	transaction	is.)						
	A. Description of Assets (including trust assets)		B. ome during ting period	Gross va	C. Ilue at end ing period		Transacti	D. ons during		g period
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	R.R. Donnelly & Sons Co.	В	Dividend	K	Т					
19.	DWS Advisor Funds Mid-Cap Growth Fund Class S	В	Dividend	М	Т					
20.	DWS International Emerging Markets Equity Fund Class S	A	Dividend	К	Т					
21.	Walt Disney Co.	A	Dividend	L	Т					
22.	Yum Brands, Inc.	A	Dividend	K	Т					
23.	Capital One Bank Certificate of Deposit	В	Interest	K	Т					9.
24.	Capital One Bank Certificate of Deposit	С	Interest	L	Т					
25.	American Express Bank Certificate of Deposit	С	Interest	L	Т					
26.	Wachovia Bank Certificate of Deposit	В	Interest	K	Т					
27.	DWS Cash Investment Trust	A	Interest			Sold	07/23/10	L	A	
28.	DWS Strategic Gov. SEC Fund - S	A	Interest	L	Т	Buy	07/23/10	L		
29.	DWS Cash Investment Trust	A	Interest			Sold	07/23/10	K	A	
30.	DWS GNMA Fund - S	A	Interest	К	Т	Buy	07/23/10	K		
31.	Great Horn Mining Syndicate		None	J	υ					
32.	Edgartown Water Co.		None	J	U					
33.	Mainbocher, Inc.		None	<sub>©</sub> J	U					
34.	Evergreen Money Fund	В	Interest	J	Т					

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

(See Column C2)

A =\$1,000 or lcss F =\$50,001 - \$100,000

J =\$15,000 or less

K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R =Cost (Rcal Estate Only)

G=\$100,001 - \$1,000,000

B =\$1,001 - \$2,500

C=\$2,501 - \$5,000 111 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

PI =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S = Assessment w =Estimated

D=\$5,001 - \$15,000

112 =More than \$5,000,000 M =\$100.001 - \$250.000 P2 =\$5,000,001 - \$25,000,000 E =\$15,001 - \$50,000

T =Cash Market

Q =Appraisal

U =Book Value

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Name of Person Reporting	Date of Report
Schall, Alvin A.	05/04/2011

VII. IIN VESTIVIEN IS ALLU I RUSIS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instru	VII. INVESTMENTS and TRUSTS	- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing	e instructions.)
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	NONE (No reportable income, ass	sets, or	transaction	ıs.)						
	Α.		В.		C.			D.		
	Description of Assets	Inco	me during	Gross va	lue at end		Transacti	ons during	reporting	g period
	(including trust assets)	repor	ting period	of report	ing period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount Code 1	Type (e.g.,	Value	Value	Type (e.g.,	Date	Value	Gain	Identity of
	exempt from prior disclosure	(A-H)	div., rent, or int.)	Code 2 (J-P)	Method Code 3	buy, sell, redemption)	mm/dd/yy	Code 2 (J-P)	Code I (A-H)	buyer/seller (if private
			or may	(3-1)	(Q-W)	reacinplion		(3.)	(71-11)	transaction)
		ı			, ,					·
35.	Fidelity Cash Reserves Fund	A	Interest	L	T					
	استا			-						
36.	Vanguard Intermediate-Term Tax-Exempt	İ		İ	İ	İ	i	İ		İ
	Fund Admiral Shares									
37.	Bond Fund	С	Interest	М	Т	İ	İ			ĺ
	1000									
38.	Vanguard Limited-Term Tax-Exempt Fund			İ				8		İ
	Admiral Shares			J	<u>J</u>		1	23		
39.	Bond Fund	D	Interest	м	Т			51		
			interest		_					0
40.	Vanguard GNMA Fund Admiral Shares	D	Interest	м	Т	Buy	06/20/10	М		
	Bond Fund		merest	'**		Day .	00/20/10			
41.	Vanguard Short-Term Bond Index	С	Interest	м	Т	Buy	06/20/10	М		<u>*</u> !
	Admiralty Shares Bond Fund		Interest	"		Buy	00/20/10			
42.		Ì								<u> </u>
43.	TRUST #1:	i			- 5					
"								8		
44.	Blackrock Funds International Bond	A	Dividend	J	Т			-		
	Portfolio Fund	^ 1	Dividend	'	. 1					
45.	Deutsche Bank Trust Co. U.S. Small	A	Dividend			Sold	01/15/10	J		
	Capitalization Fund	^	Dividend			Sold	01/15/10	,		
46.	Deutsche Bank Trust Co. Tax-Free Income	В	Dividend	К	Т			- 8		
10.	Fund	, P	Dividend	_ ~	1					
47.	Deutsche Bank Trust Co. International Fund	Α	Dividend	v	Т	,				
٦,,	Bedisene Bank Trast Co. International Land	^	Dividella	K	1		1			
48.	Deutsche Bank Trust Co. U.S. Large	В	Dividend		Т		1			
40.	Capitalization Value Fund	В	Dividend	М	'					
49.	2.00% U.S. Treasury Notes	_	Interest	, 1	т .		1			<u>.</u>
17.	2.00% C.S. Treasury Notes	A	interest	J	Т					
50.	DWS High Income Fund Class A	^	Dividend	,	Т		1			
50.	D WO FIIght Income I und Class A	A	Dividend	J	Т					
51.	MSCI Emerging Markets Index Fund		Divid	V	l		1			
J1.	MISCI Emerging Markets index rund	A	Dividend	K	Т					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less

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J =\$15.000 or less

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Q ≃Appraisal U=Book Value B =\$1,001 - \$2,500

G = 100,001 - 10,000,000K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C=\$2,501 - \$5,000

111 =\$1,000,001 - \$5,000.000 L =\$50,001 - \$100,000 P1 =\$1.000.001 - \$5,000,000

P4 = More than \$50,000,000

S =Assessment W =Estimated D=\$5,001 - \$15,000

H2 =More than \$5.000,000 M =\$100,001 - \$250,000 P2 =\$5,000.001 - \$25,000,000

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Schall, Alvin A.	05/04/2011

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instruction
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	NONE (No reportable income, ass	sets, or	transaction	is.)						
	A.  Description of Assets  (including trust assets)		B. ome during	Gross va	C. lue at end ing period		Transactio	D. ons during		period
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52.	Goldman Sachs Natural Resources Index Fund	A	Dividend	J	Т					
53.	Cohen & Steers Realty Index Fund	A	Dividend	J	Т					
54.	Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	Т					
55.	TRUST #2:									
56.	American Express Co.	A	Dividend	К	Т					
57.	BP PLC	A	Dividend	К	Т					
58.	Kimberly Clark Corp.	В	Dividend	L	Т					
59.	Pfizer, Inc.	A de	Dividend	J	Т	,				
60.	Raytheon Co.	В	Dividend	К	Т					
61.	R.R. Donnelly & Sons Co.	A	Dividend	К	Т					
62.	Deutsche Bank Trust Co.U.S. Large Capitalization Growth Fund	С	Dividend	N	Т					
63.	Deutsche Bank Trust Co. Tax-Free Income Fund	D	Dividend	М	Т					
64.	Deutsche Bank Trust Co. International Fund	A	Dividend	J	Т					
65.	Deutsche Bank Trust Co. Money Market Deposit Account	Α	Interest	К	Т					
	TRUST #3:					li :				
67.	Deutsche Bank Trust Co. U.S. Small Capitalization Fund	A	Dividend	J	Т					
68.	Deutsche Bank Trust Co. Tax-Free Income Fund	В	Dividend	К	Т					

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

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F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500.000 P3 = \$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B =\$1,001 - \$2,500

G = 100,001 - 1,000,000K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S = Assessment W =Estimated

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Schall, Alvin A.	05/04/2011

VII. INVESTMENTS and TRUSTS incom	e, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	A.		B.		C.			D.		
	Description of Assets (including trust assets)		me during ting period		ilue at end ing period		Transacti	ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(I) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
69.	Deutsche Bank Trust Co. International Fund	A	Dividend	K	Т					<del>- 27</del> 7.
70.	Deutsche Bank Trust Co. U.S. Large Capitalization Value Fund	В	Dividend	М	Т	3				
71.	2.00% U.S. Treasury Notes	A	Interest	J	Т				İ	
72.	DWS High Income Fund Class A	A	Dividend	J	Т					
73.	MSCI Emerging Markets Index Fund	A	Dividend	K	Т					
74.	Goldman Sachs Natural Resources Index Fund	A	Dividend	J	Т					
75.	Cohen & Steers Realty Majors Index Fund	A	Dividend	J	Т					
76.	Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	Т					
77.	TRUST #4:			171						
78.	American Express Co.	A	Dividend	L	Т					
79.	BP PLC	A	Dividend	K	Т					
80.	General Electric Co.	A	Dividend	K	Т					
81.	Merck & Co., Inc.	В	Dividend	K	Т					
82.	Procter & Gamble Co.	В	Dividend	K	Т					
33.	Deutsche Bank Trust Co. International Fund	В	Dividend	L	Т					
34.	Deutsche Bank Trust Co. Taxable Income Fund	Е	Dividend	N	Т					
85.	DWS High Income Fund-Insured	В	Dividend	K	Т		İİ			

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less

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J =\$15,000 or less N =\$250,001 - \$500,000

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Q =Appraisal U =Book Value B =\$1,001 - \$2,500

G = 100.001 - 1.000.000K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

111 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

C =\$2,501 - \$5,000

P1 =\$1,000,001 - \$5,000,000 P4 = More than \$50,000,000

S = Assessment

W =Estimated

D=\$5,001 - \$15,000

112 =More than \$5,000.000 M =\$100.001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Schall, Alvin A.	05/04/2011

VII. I	NVESTMENTS and	1 TRUSTS	- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions
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	Α.		B.		C.			D.		
	Description of Assets		me during	Gross value at end			Transactio	ons during	reporting	period
	(including trust assets)		ting period	•	ing period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount Code 1	Type (e.g.,	Value	Value	Type (e.g.,	Date	Value	Gain	Identity of
	exempt from prior disclosure	(A-H)	div., rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
		(A-II)	or int.)	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private
					(Q-W)					transaction)
86.	I Shares U.S. Treasury Inflation Protected		Dividend	K	Т					
	Securities Fund									
87.	PIMCO Developing Local Markets Fund	В	Dividend	K	Т					
										E.
88.	Eaton Vance Structured Emerging Markets Fund	Α	Dividend	K	T					
89.	Deutsche Bank Trust Co. U.S. Large Capitalization Value Fund	В	Dividend	L	Т					
90.	I Path Dow Jones AIG Commodity Index Total Return Fund	Α	Dividend	J	Т					
91.	DWS Reef Global Real Estate Secured Fund	Α	Dividend	J	Т					
92.	Deutsche Bank Trust Co. Money Market Deposit Account	Α	Dividend	К	Т					(C
93.	ESTATE (Opened 10/31/08)									
94.	Dreyfus Intermediate Municipal Bond Fund	D	Dividend	М	Т	Sold (part)	06/16/10	L	D	
95.	Williams 79 Associates	i	None	J	w					

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

N =\$250,001 - \$500.000 P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000

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PI =\$1,000,001 - \$5.000,000 P4 =More than \$50,000,000 S = Assessment

W =Estimated

D=\$5,001 - \$15,000

112 = More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T ≈Cash Market

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Name of Person Reporting	Date of Report
Schall, Alvin A.	05/04/2011

### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

#### ADDITIONAL INFORMATION WITH RESPECT TO PART VII OF THIS REPORT:

- 1. Items 1-18, 21, 22, 31-33, 56-61, and 78-82 are common stock holdings.
- 2. Items 19, 20, 28, 30, 36-41, 44, 50-53, 72-75, 85-88, 90, 91, and 94 are mutual funds.
- 3. Items 23-26 are certificates of deposit.
- 4. Items 27, 29, 34, 35, 54, 65, 76, and 92 are in the nature of money markets accounts.
- 5. Items 49 and 71 are United States Treasury Notes.
- 6. Items 45, 47, 48, 62, 64, 67, 69, 70, 83, and 89 are commingled common stock trust funds managed by Deutsche Bank Trust Co.
- 7. Items 46, 63, and 68 are commingled tax-free securities trust funds managed by Deutsche Bank Trust Co.
- 8. Items 84 and 85 are commingled debt securities trust funds managed by Deutsche Bank Trust Co..
- 9. Item 95 is a real estate partnership.

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Name of Person Reporting	Date of Report
Schall, Alvin A.	05/04/2011

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature	 	 	 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544