AO 10 Rev. 1/2011

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report				
ДУК, ТІМОТНУ В.	FEDERAL CIRCUIT	05/13/2011				
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. CIRCUIT JUDGE ACTIVE  7. Chambers or Office Address 717 MADISON PLACE, N.W. WASHINGTON, D.C. 20439	5a. Report Type (check appropriate type)  Nomination, Date Initial Annual Final  5b. Amended Report  8. On the basis of the information contained in this Report and an modifications pertaining thereto, it is, in my opinion, in compli with applicable laws and regulations.  Reviewing Officer	Date				
checking the NONE box for each par	t where you have no reportable information. Sign on last pag	·····				
I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing in NONE (No reportable positions.)	nstructions.)					
POSITION	NAME OF ORGANIZ	ZATION/ENTITY				
1. JUDICIAL COUNSELOR	GILES RICH INN OF COURT	GILES RICH INN OF COURT				
2.						
3.						
4.						
5.						
II. AGREEMENTS. (Reporting individual only; see pp. 14-16.  NONE (No reportable agreements.)	of filing instructions.)					
DATE	PARTIES AND TERMS					
I						
2.						
3.						

Page 2 of 12

Name of Person Reporting

Date of Report

DYK, TIMOTHY B.

05/13/2011

II	I. NON-INVESTME	ENT INCOME	• (Reporting individual and spous	e; see pp. 17-24 of filing instructions.)						
A.	Filer's Non-Investment	Income								
	NONE (No reportab	le non-investment	income.)							
	<u>DATE</u>		SOURCE AN	INCOME (yours, not spouse's)						
1.	2010	UNIVER	SITY OF NEBRASKA - BOA	\$446.00						
2.										
3.										
4.										
	Spouse's Non-Investmentiallar amount not required except for to NONE (No reportable	honoraria.)		the reporting year, complete this section	on.					
	DATE		SOURCE AN	ID TYPE						
1, 2	2010	UNIVER	UNIVERSITY OF MICHIGAN SALARY							
2. 2	2010	СОММО	N GOOD - HONORARIA \$1,	500						
3. 2	2010	WASHIN	GTON UNIVERSITY - HONO	ORARIA \$4,000						
4. 2	2010	PODEST	A GROUP INC - SALARY AN	ROUP INC - SALARY AND SELF-EMPLOYED CONSULTANT						
	T. REIMBURSEME! ludes those to spouse and dependent  NONE (No reportabl	children; see pp. 25-27 of	filing instructions)							
	SOURCE	DATES	LOCATION	<u>PURPOSE</u>	ITEMS PAID OR PROVIDED					
I.	NEW YORK INTELLECTUAL PROPERTY LAW ASSOCIATION (NYIPLA)	03/26/2010	NEW YORK, NY	NYIPLA - DINNER	TRANSPORTATION, LODGING, MEALS					
2.	INTELLECTUAL PROPERTY OWNERS ASSOCIATION	06/10/2010	WASHINGTON, DC	IPO AWARDS, RECEPTION / DINNER AT SMITHSONIAN	MEAL					
3.	FEDERAL CIRCUIT BAR ASSOCIATION	11/19/2010	WASHINGTON, DC	FCBA ANNUAL DINNER	MEAL					

FINANCIAL DISCLOSURE REPORT Page 3 of 12			RT	Name of Person Re	Date of Report 05/13/2011			
4.	AMERICAN INTELLECTUAL PROPERTY LAW ASSOCIATION (AIPLA)	04/23/2010	WASHINGTON, DC		AIPLA - MOOT COURT RECEPTION	MEAL		
5.	LINN ALLIANCE	05/20/2010	WASHINGTON, DC		LINN ALLIANCE RECEPTION	MEAL		
6.	AMERICAN UNIVERSITY	10/21/2010	WASHINGTON, DC		AMERICAN UNIVERSITY	MEAL		
7.	GILES S. RICH INN OF COURT	05/22/2010	WASHINGTON, DC		GSR INN / FCBA RECEPTION & DINNER IN HONOR OF JUDGE MICHEL	MEAL		
8.	HARVARD LAW SCHOOL	10 /13 14/ 2010	BOST	ON, MA	HARVARD LAW IP CONFERENCE	TRANSPORTA MEALS	ATION, LODGING,	
9.	BIOTECHNOLOGY INDUSTRY ORGANIZATION	10/26/2010	WASHINGTON, DC		BIO IPCC LUNCHEON, ST REGIS	MEAL		

10.

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting
Page 4 of 12	<b>DYK, ТІМОТНҮ В.</b>

Name of Person Reporting

Date of Report

DYK, TIMOTHY B.

05/13/2011

V. GIFTS. (Includes those to spouse and dependent ch	ildren; seepp. 28-31 of filing instructions.)	
✓ NONE (No reportable gifts.)		
SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4		
5.		
VI. LIABILITIES. (Includes those of spouse and	d dependent children; see pp. 32-33 of filing instructions.)	
CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

Page 5 of 12

Name of Person Reporting	Date of Report
рук, тімотну в.	05/13/2011

# VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	Α.		B.	(	c.			D.		
	Description of Assets (including trust assets)		me during ting period		lue at end ing period		Transactio	ons during	reporting	period
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e g , div., rent, or int.)	(1) Value Code 2 (J P)	(2) Value Method Code 3 (Q-W)	(I) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J P)	(4) Gain Code I (A H)	(5) Identity of buyer/seller (if private transaction)
I.	LOT TRURO, MA (PARCEL I) (\$331,600)		None	N	S	10				
2.	CONDOMINIUM - N. MIAMI BEACH FL (\$125,060)		None	М	S					
3.	CARLYLE FUND		None	N	Т					
4.				_		Buy (add'I)	09/01/10	L		
5.	CITIBANK BK DPT	A	Interest	J	Т					
6.	HIGH POINT NC G/O	A	Interest			Redeemed	04/01/10	K		
7.	UTAH HSG CORP SINGLE FAM	A	Interest			Redeemed	07/01/10	K		
8.	I SHARES S&P NEW YORK BOND FUND	A	Interest	L	Т	Buy	12/14/10	L		
9.	FIRST NIAGRA FINANCIAL (COMMON STOCK)		None	J	Т	Buy	12/21/10	J		
10.	US TREASURY BILL 08/12/2010	Α	Interest			Buy	05/10/10	Pl		
11.						Redeemed	08/12/10	P1	А	35
12.	VANGUARD MM	А	Interest	J	Т					
13.	U.S. TREASURY BILL 09/23/2010	A	Interest			Buy	05/21/10	N		
14.						Redeemed	09/23/10	N		
15.	BANK OF AMERICA (COMMON STOCK)		None	K	Т					
16.	NUVEEN MUNICPAL VALUE FUND	D	Int./Div.	N	Т			Ì		
17.						Buy (add'l)	12/27/10	L	İ	

1 Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A ≈\$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q≈Appraisal U ≈Book Value B=\$1,001 - \$2,500 G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C = \$2.501 - \$5.000

H1 =\$1,000.001 - \$5,000,000 L =\$50,001 \$100,000

P1 = \$1,000.001 - \$5.000,000 P4 = More than \$50,000,000

S = Assessment W =Estimated

D=\$5,001 - \$15,000

H2 =More than \$5 000,000 M =\$100,001 - \$250,000 P2 =\$5,000 001 - \$25,000 000

T =Cash Market

E =\$15,001 - \$50,000

Page 6 of 12

Name of Person Reporting	Date of Report
рук, тімотну в.	05/13/2011

# VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	A.		B.	(	Σ.			D.		
	Description of Assets (including trust assets)		me during ting period		lue at end ing period		Transaction	ons during	reporting p	eriod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(I) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	VANGUARD MONEY MARKET FUND T/E	D	Dividend	Pl	Т					
19.	VANGUARD SHORT TERM T/ E FUND	D	Dividend			Sold	05/04/10	0	В	
20.	NY COMM BK CORP		None	К	Т	Buy	12/23/10	К		
21.	HUDSON CITI BANK CORP		None	J	Т	Buy	12/23/10	J		
22.	I-SHARES US PREFERRED STOCK INDEX	A	Dividend	L	Т	Buy	10/21/10	L	İ	
23.	I-SHARES S&P MUNI BOND FUND		None	L	Т	Buy	12/23/10	М		
24.	NORTHWEST BANK SHARES		None	J	Т	Buy	12/23/10	J	j	
25.	VANGUARD INTERMEDIATE T/E	A	Dividend	М	Т	Buy	11/19/10	М		
26.	VANGUARD SHORT TERM T/ E FUND	D	Dividend		1	Sold	05/04/10	PI	Е	
27.	ATLAS AIR WORLDWIDE (COMMON STOCK)		None	J	Т					
28.	CAPITOL FEDERAL FINANCIAL (COMMON STOCK)	A	Dividend	K	Т	Buy	12/20/10	К		
29.	BRIGHT PT INC (COMMON STOCK)		None	J	Т					
30.	ASTORIA FINANCIAL (COMMON STOCK)		None	J	Т	Buy	12/20/10	J		
1.	HARRIS INTERACTIVE INC (COMMON STOCK)		None	J	Т					
2.	OSI SYSTEMS INC (COMMON STOCK)		None	J	Т				İ	
3.	POWERWAVE TECH INC (COMMON STOCK)		None	J	Т					
4.	SIRONA DENTAL SYSTEMS INC (COMMON STOCK)		None	К	Т	1				

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A = \$1,000 or less

F = \$50.001 - \$100,000

J =\$15,000 or less

N =\$250.001 - \$500 000 P3 = \$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B=\$1,001 - \$2,500

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 H1 =\$1.000,001 - \$5,000,000

L =\$50,001 \$100,000 P1 =\$1.000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment W = Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Page 7 of 12

Name of Person Reporting	Date of Report
DYK, TIMOTHY B.	05/13/2011

# VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	NONE (No reportable income, ass	sets, or	transaction	s.)						
	A. Description of Assets (including trust assets)		B. ome during ting period	Gross va	C. Ilue at end ing period		Transactio	D. ons during		period
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g., div., rent, or int.)	(1) Value Code 2 (J P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J P)	(4) Gain Code I (A H)	(5) Identity of buyer/seller (if private transaction)
35.	TETRATECH INC (COMMON STOCK)		None	J	Т					
36.	UNITED NATURAL FOODS (COMMON STOCK)		None	1	Т					
37.	CAPMARK BANK CD DUE 07/16/12	С	Interest	М	Т					
38.	WATTS WATER TECH (COMMON STOCK)	A	Dividend	J	Т					
39.	NUVEEN SELECT MATURITY (MUNICIPAL FUND)	A	Interest	J	Т		1		ì	
40.	VANGUARD IRA #4	D	Dividend	N	Т					
41.	JAPAN FUND					Sold	01/19/10	М	Е	
42.	- KAISER ALUMINUM CORP (COMMON STOCK)					Sold	01/14/10	J		
43.	- WILLIAMS COM GR INC. NOTES									
44.	RYDEX INVERSE S&P FUND					Sold	01/04/10	L	1	
45.	- I SHARES MSCI JAPAN									
46.	- I SHARES MSCI S. KOREA					Sold	01/21/10	М	Е	
47.	- FIDELITY JAPAN SMALL CO. FUND							0		
48.	VANGUARD MM									
49.	- GENERAL ELECTRIC CO (COMMON STOCK)									
50.	INTEL CORP (COMMON STOCK)									
51.	NY TIMES CO (COMMON STOCK)									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less

F = \$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B = \$1,001 - \$2,500

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000

H1=\$1,000,001-\$5,000,000 L =\$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000

S = Assessment W =Estimated

D =\$5,001 - \$15,000

H2 = More than \$5,000,000 M =\$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000

T =Cash Market

E = \$15,001 - \$50,000

Page 8 of 12

Name of Person Reporting	Date of Report
DYK, TIMOTHY B.	05/13/2011

# VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

	NONE (No reportable income, ass	sets, or	transaction	is.)						
	A.  Description of Assets  (including trust assets)		B. me during ting period	Gross va	C. Ilue at end ing period		Transactio	D. ons during		period
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A H)	(2) Type (e g , div., rent, or int.)	(1) Value Code 2 (J P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J P)	(4) Gain Code I (A H)	(5) Identity of buyer/seller (if private transaction)
52.	CAPITAL TRUST INC (COMMON STOCK)									
53.	- DOW CHEMICAL BOND DUE 05/15/14		2							
54.	VANGUARD IRA - #1	С	Dividend	0	Т					
55.	- BP (COMMON STOCK)					Buy	05/10/10	K		
56.	- BP (COMMON STOCK)					Sold	08/06/10	K		
57.	- FIDELITY JAPAN SM CO FUND									
58.	EXXON MOBIL (COMMON STOCK)					Buy	05/25/10	L		
59.	I SHARES JAPAN INDEX FUND					ē				
60.	- I-SHARES PREFERRED STOCK INDEX					Buy	10/18/10	L		
61.	JAPAN FUND				l	Sold	01/15/10	L	Е	
62.	- THIRD AVE FOCUSED CREDIT FUND								S 50 1975	
63.	- N Y TIMES (COMMON STOCK)									
64.	- MORGAN STANLEY SENIOR NOTES					Buy	08/23/10	М		
65.	VANGUARD MONEY MARKET									
66.	LUMINENT (COMMON STOCK)		707							
67.	VANGUARD IRA #2	В	Dividend	N	Т					
68.	-VANGUARD MONEY MARKET									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or lcss N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O00,000,12 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 = More than \$50,000,000

S =Assessment W =Estimated

D =\$5,001 - \$15,000

H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Page 9 of 12

Name of Person Reporting	Date of Report
рук, тімотну в.	05/13/2011

#### VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.) NONE (No reportable income, assets, or transactions.) A. C. D Description of Assets Income during Gross value at end Transactions during reporting period (including trust assets) reporting period of reporting period (1) (1) (1) (2) (3) (4) (5) Place "(X)" after each asset Date Value Gain Identity of Amount Type (e g, Value Value Type (eg, exempt from prior disclosure Code 1 Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller div., rent. (A H) or int.) (J-P) Code 3 redemption) (J P) (A H) (if private (Q W) transaction) - FIDELITY INV TRUST JAPAN SMALL CO FUND DODGE & COX INT FUND 70. - CITIGROUP (COMMON STOCK) -LUMINENT (COMMON STOCK) - INTEL (COMMON STOCK) 73. 74. - N Y TIMES (COMMON STOCK) 75. VANGUARD IRA#3 C Dividend 76. - VANGUARD MM FUND 77. - I SHARES S&P PREFERRED STOCK 10/21/10 Κ Buy INDEX FUND ROYAL DUTCH SHELL (COMMON 78. 10/14/10 Buy L STOCK) - THIRD AVE FOCUS CREDIT FUND 79. - FAIR POINT COMMUNICATIONS 80. (COMMON STOCK) INTEL CORP (COMMON STOCK) 81. 82. TD WATERHOUSE IRA #I (CASH) Α Dividend T J 83. TD WATERHOUSE IRA #2 Α Dividend T Ν 84. SPEC SITUATION LIFE SCIENCES 85. WELLS FARGO IRA (X) Α Dividend T

I. Income Gain Codes:
 (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2) A =\$1,000 or less

F = \$50,001 - \$100,000 J = \$15,000 or less

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Q =Appraisal U =Book Value B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only) V = Other C =\$2,501 \$5,000

H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000

P1 = \$1,000 001 - \$5,000,000 P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 =More than \$5,000,000 M = \$100,001 - \$250,000 P2 \$5,000,001 - \$25,000,000

T =Cash Market

E = \$15,001 - \$50 000

Page 10 of 12

Name of Person Reporting Date of Report DYK, TIMOTHY B. 05/13/2011

VII. I	NVE	CSTM	ENT	S and	<b>TRUST</b>	${\sf S}$ – income, value	, transactions (In	cludes those of s	spouse and	dependent ch	ildren; see pp	34-60 of filing	instructions.)
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	A  Description of Assets	Inco	B. ome during	C. Gross value at end		D Transactions during reporting period					
	(including trust assets)	repor	ting period	of report	ing period						
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g, div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy		(4) Gain Code I (A H)	(5) Identity of buyer/seller (if private transaction)	
86.	- MONEY MARKET (X)										
87.	- KAISER ALUMINUM (COMMON STOCK) (X)										
88.	- KAISER ALUMINUM SENIOR NOTES DUE 02/15/02 (X)										
89.	MASS MUTUAL LIFE INS	Α	Dividend	К	w						
90.	ING LIFE INS	С	Dividend	М	Т						
91.	PNC BANK WASH DC	A	Interest	K	Т				İ		
92.	US BONDS	С	Interest	М	Т						
93.	J.J. GUMBERG LIMITED PARTNERSHIP	В	Distribution	J	w						

P4 = More than \$50,000,000

S = Assessment W =Estimated

Page 11 of 12 DYK, TIMO

Name of Person Reporting	Date of Report
рук, тімотну в.	05/13/2011

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

- 1) TOTAL INCOME FOR IRA ACCOUNTS IS TOTAL INCOME AS REPORTED BY CUSTODIAN OF ACCOUNT.
- 2) TRANSFERS FROM IRA ACCOUNTS TO THRIFT SAVINGS PLAN ACCOUNTS NOT SHOWN.
- 3) LINES 85-88, WELLS FARGO [PREVIOUSLY WACHOVIA) IRA, CONTAINING KAISER ALUMINUM NOTES, WAS NOT REPORTED ON PRIOR REPORTS DATING BACK TO 2006 BECAUSE THE NOTES WERE BELIEVED TO BE WORTHLESS DUE TO THE KAISER ALUMINUM BANKRUPTCY. IN JULY 2010, THERE WAS AN ADDITIONAL DISTRIBUTION FROM THE BANKRUPTCY, WHICH APPEAR ON LINES 86 AND 87. THE 2006-2009 REPORTS SHOULD BE AMENDED TO SHOW WELLS FARGO IRA CONTAINING KAISER ALUMINUM NOTES, WITH "NONE" IN THE INCOME COLUMNS; IN THE VALUE COLUMNS ADD "J"FOR THE VALUE AND "W" FOR THE VALUE CODE.

Page 12 of 12

Name of Person Reporting	Date of Report
<b>ДУК, ТІМОТНҮ В.</b>	05/13/2011

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ TIMOTHY B. DYK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544